TOANS			Application No.	on No. 10/655,841			
IRAN	SMITTAL F	URIVI	Filing Date	Septe	September 5, 2003		
(to be used for all correspondence after initial f			First Named Inventor	Lawi	rence W. Midland		
			Art Unit	2635			
			Examiner Name	Brow	vn, Vernal U		
Total Number of I	Pages in This Submiss	ion 76	Attorney Docket Number	er 3649	1P008		
	ENCLO	SURES (chec	k all that apply)				
Fee Transmittal	Form	Drawing(s)			After Allowance Communication to TC		
Fee Attac	ched	Licensing-r	elated Papers		Appeal Communication to Board of Appeals and Interferences		
Amendment / R	esponse	Petition			Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)		
After Fina Affidavits.	al /declaration(s)	Petition to 0 Provisional	Convert a Application		Proprietary Information		
Extension of Tin	ne Request	Power of Attorney, Revocation Change of Correspondence Address		l	Status Letter		
Express Abando	onment Request	Terminal Disclaimer			Other Enclosure(s) (please identify below):		
Information Disc	closure Statement	Request for Refund			Copies of 4 Cited References		
TO/SB/0	08	CD, Numbe	er of CD(s)				
Certified Copy of Document(s)	of Priority	Lands	cape Table on CD				
Response to Mi	ssing Parts/ lication	Remarks		_1			
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Response Parts und 1.52 or 1.	e to Missing ler 37 CFR 53						
	SIGNATUR	E OF APPLICA	NT, ATTORNEY, OR	AGENT			
Firm	Roger W. Blake	ely, Jr., Reg. N	o. 25,831				
or Individual name BLAKELY, S		OKOLOFF,	TAYLOR & ZAF	MAN I	LLP .		
Signature Osque		Blad	4				
Date	January 4, 200	)6		_			
			ING/TRANSMISSION				
I hereby certify that the sufficient postage as f 1450, Alexandria, VA	first class mail in an en	eing deposited wi velope addressed	th the United States Pos to: Mail Stop Amendme	tal Servic nt, Comm	e on the date shown below with hissioner for Patents, P.O. Box		
Typed or printed na	ame Jessica A. Cl	•	00				
Signature LLSJ		ica CFC	Kenn	Date	January 4, 2006		

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## FEE TRANSMITTAL for FY 2005

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT** 

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Complete if Known					
Application Number	10/655,841				
Filing Date	September 5, 2003				
First Named Inventor	Lawrence W. Midland				
Examiner Name	Brown, Vernal U				
Art Unit	2635				
Attorney Docket No.	36491P008				

METHO	METHOD OF PAYMENT (check all that apply)							
☐Check [	□Check □Credit card □ Money Order ☑None □Other (please identify):							
Deposit A	Deposit Account Deposit Account Number: 02-2666 Deposit Account Name: Blakely, Sokoloff, Taylor & Zafman LLP							
⊠ Cha ⊠ Cha	arge fee(s) arge any a	indicated	l below fee(s) or	ount, the Director is hereby authorized to: (check all that apply)  Charge fee(s) indicated below, except for the underpayment of fee(s)  Credit any overpayments  18 and 1.20.	filing fee			
FEE CALCU	LATION							
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1051	130	2051	65	Surcharge - late filing fee or oath				
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.				
2053	130	2053	130	Non-English specification				
1251	120	2251	60	Extension for reply within first month				
1252	450	2252	225	Extension for reply within second month				
1253	1,020	2253	510	Extension for reply within third month				
1254	1,590	2254	795	Extension for reply within fourth month				
1255	2,160	2255	1,080	Extension for reply within fifth month				
1401	500	2401		Notice of Appeal				
1402	500	2402		Filing a brief in support of an appeal				
1403	1,000	2403		Request for oral hearing				
1451	1,510	2451		Petition to institute a public use proceeding				
1460	130	2460		Petitions to the Commissioner				
1807	50	1807		Processing fee under 37 CFR 1.17(q)	· · · · · · · · · · · · · · · · · · ·			
1806	180	1806		Submission of Information Disclosure Stmt				
1809	790	1809		Filing a submission after final rejection (37 CFR § 1.129(a))				
1810	790	2810		For each additional invention to be examined (37 CFR § 1.129(b))				
Other f	ee (spe	cify)						
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SUBMITTED BY Complete (if applica					olete (if applicable)			
Name (Print/Type)	Roger W. Blakely, Jr.	n		1	Registration No. (Attorney/Agent)	25,831	Telephone	(714) 557-3800
Signature	Roger W/Blake		${\mathbb Z}$				Date	01/04/06

Based on PTO/SB/17 (12-04) as mobified by Blakely, Solokoff, Taylor & Zarman (wir) 12/15/2004. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313 1450

Docket No.: 36491P008



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

e the Application of:

LAWRENCE W. MIDLAND, ET AL.

Application No.: 10/655,841

Filed: September 5, 2003

For: DATA ENTRY SYSTEMS WITH

BIOMETRIC DEVICES FOR SECURITY

ACCESS CONTROL

Art Group: 2635

Examiner: Brown, Vernal U

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure, enclosed are the Information Disclosure Statement by Applicant (form PTO/SB/08 or PTO-1449) and copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed), which are being submitted before the mailing of a final Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). The references were cited in a Search Report dated October 31, 2005 in a counterpart EPO application, which was forwarded to Applicant's Representative in a communication dated November 3, 2005.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Please charge any fees due to Deposit Account 02-2666. A duplicate copy of the Fee Transmittal (PTO/SB/17) is enclosed for this purpose.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

er W. Blakely, Jr., Reg/No. 25,831

Date: January 04, 2006

12400 Wilshire Boulevard, 7th Floor Los Angeles, CA 90025 Telephone: (714) 557-3800

CERTIFICATE OF MAILING/TRANSMISSION ,

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jessica A. Clark

Date

JAN 10 2006 w

Sybstitute for form 1449A/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

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Sheet 1 of

		Complete if Known
	Application Number	10/655,841
	Filing Date	September 5, 2003
	First Named Inventor	Lawrence W. Midland
	Art Unit	2635
_	Examiner Name	Brown, Vernal U
	Attorney Docket Number	36491P008

	-	Document Number	Publication Date		Pages Columns Lines Where	
Examiner Initials*	Cite No.1	Number - Kind Code² (if known)	or Issue Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	
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	FOREIGN PATENT DOCUMENTS						
Examiner Cite		Foreign Patent Document			Pages, Columns, Lines,	-	
Initials*	No.1	Country Code - Number - Kind Code (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Where Relevant Passages or Relevant Figures Appear	1	
		EP - 0 147 837 - A2	07-10-1985	Omron Tateisi Electronics Co.			
		DE - 296 13 938 - U1	10-31-1996	Peter Menne			
		EP - 1 041 506 - A2	10-04-2000	NCR International Inc.			
		EP - 1 041 506 - A3	04-21-2004	NCR International Inc.			
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Examiner	Date
Signature	Considered

'Applicant's unique citation designation number (optional). See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible applicant is to place a check mark here if English language Translation is attached.

Based on PTO/SB/08A (08-03) as modified by Blakely, Solokoff, Taylor & Zafman (wlr) 08/11/2003.

<sup>\*</sup>Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication.